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Modified PT2/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/993,397	
	Filing Date	November 14, 2001	
	First Named Inventor	Charlotte F. Kinnison	
	Group Art Unit	1734	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission		Attorney Docket Number	Cryogen-1 (Straub-1)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Postcard Receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed declaration and power of attorney.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael P. Straub (Reg. No. 36,941)
Signature	<i>Michael P. Straub</i>
Date	January 9, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 9, 2002			
Typed or printed name	Michael P. Straub		
Signature	<i>Michael P. Straub</i>	Date	January 9, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Modified PTO/SB/17 (11-00)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 493.00)

Complete if Known

Application Number	09/993,397
Filing Date	November 14, 2001
First Named Inventor	Charlotte F. KINNISON
Examiner Name	Not yet assigned
Group Art Unit	1734
Attorney Docket No.	Cryogen-1 (Straub-1)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to:

Deposit Account Number
50-1049Deposit Account Name
Straub & Pokotylo

- ☒
- Charge any deficiency or credit any overpayment

☐ Applicant claims small entity status.
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740 201 370	Utility filing fee	370.00	
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		

SUBTOTAL (1) (\$370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	9	18.00
3	-3** = 0	42	00.00
Multiple Dependent			

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18 203 9	Claims in excess of 20	
102 84 202 42	Independent claims in excess of 3	
104 280 204 140	Multiple dependent claim, if not paid	
109 84 209 42	**Reissue independent claims over original patent	
110 18 210 9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$18.00)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130 205 65	Surcharge - late filing fee or oath	65.00	
127 50 227 25	Surcharge - late provisional filing fee or cover sheet		
139 130 139 130	Non-English specification		
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination		
112 920* 112 920*	Requesting publication of SIR prior to Examiner action		
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action		
115 110 215 55	Extension for reply within first month		
116 400 216 200	Extension for reply within second month		
117 920 217 460	Extension for reply within third month		
118 1,440 218 720	Extension for reply within fourth month		
128 1,960 228 980	Extension for reply within fifth month		
119 320 219 160	Notice of Appeal		
120 320 220 160	Filing a brief in support of an appeal		
121 280 221 140	Request for oral hearing		
138 1,510 138 1,510	Petition to institute a public use proceeding		
140 110 240 55	Petition to revive - unavoidable		
141 1,280 241 640	Petition to revive - unintentional		
142 1,280 242 640	Utility issue fee (or reissue)		
143 460 243 230	Design issue fee		
144 620 244 310	Plant issue fee		
122 130 122 130	Petitions to the Commissioner		
123 50 123 50	Processing fee under 37 CFR 1.17(q)		
126 180 126 180	Submission of Information Disclosure		
581 40 581 40	Recording each patent assignment per property (times number of properties)	40.00	
146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))		
179 740 279 370	Request for Continued Examination (RCE)		
169 900 169 900	Request for expedited examination of a design application		
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$105.00)

SUBMITTED BY

Name (Print/Type) Michael P. Straub

Registration No. 36,941
(Attorney/Agent)

Complete (if applicable)

Telephone (732) 335-1222

Signature

Michael P. Straub

Date January 9, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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STRAUB & POKOTYLO
1 Bethany Road
Suite 83, Bldg. 6
Hazlet, New Jersey 07730

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on **January 9, 2002** with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, **Box Missing Parts**, Washington, D.C. 20231.

Michael P. Straub
Michael P. Straub

36,941
Reg. No.



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,397	11/14/2001	Charlotte F. Kinnison	Cryogen-1 (Straub-1)

26479
STRAUB & POKOTYLO
1 BETHANY ROAD, SUITE 83
BUILDING 6
HAZLET, NJ 07730

CONFIRMATION NO. 4955

FORMALITIES LETTER



OC000000007157425

Date Mailed: 12/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/01/2002 SIEWDIE1 00000034 09993397

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OF
02 FC:205	65.00 OF
03 FC:203	18.00 OF

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$18.
 ■ \$18 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 453.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE